

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>5040.1007</b> U.S. APPLICATION NO. (if known) (37 C.F.R. 1.5) <b>10/535109</b>
INTERNATIONAL APPLICATION NO. <b>PCT/DE2003/002243</b>	INTERNATIONAL FILING DATE <b>4 July 2003</b>	PRIORITY DATE CLAIMED <b>4 July 2003</b>
TITLE OF INVENTION <b>METHOD AND APPARATUS FOR SECURING FACILITIES AGAINST UNAUTHORIZED ACCESS, IN PARTICULAR FOR IDENTIFYING GAMBLERS IN A CASINO</b>		
APPLICANT(S) FOR DO/EO/US <b>Rudolf HAUKE</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Datasheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
 Letter re: Priority

**EXPRESS MAIL**

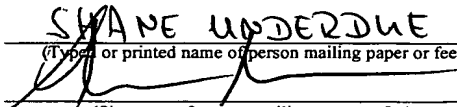
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"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**SHANE UNDERDUE**  
 (Typed or printed name of person mailing paper or fee)

  
 (Signature of person mailing paper or fee)

10/535 109

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO. PCT/DE2003/002243		ATTORNEY'S DOCKET NO. 5040.1007	
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21. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1110.00</b>  International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... <b>\$950.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$790.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$750.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... <b>\$100.00</b>					
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))..... + \$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	13 - 20 =		x \$18.00		
Independent Claims	1 - 3 =		x \$88.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$300.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>	
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,040.00</b>	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of **\$1,040.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-0552**. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

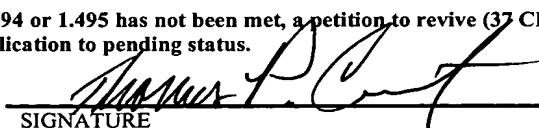
**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**Davidson, Davidson & Kappel, LLC**  
 485 Seventh Avenue, 14th Floor  
 New York, NY 10018  
 (212)-736-1940

Dated: May 13, 2005

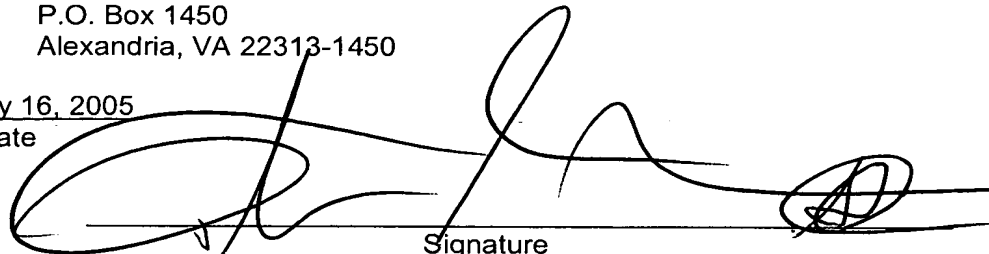
  
 SIGNATURE  
 Thomas P. Canty  
 NAME  
 44,586  
 REGISTRATION NUMBER

## Certificate of Express Mailing under 37 CFR 1.10

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Re.: Docket No.: 5040.1007  
Applicant(s): Rudolf HAUKE  
Serial No.: To be assigned  
Invention: METHOD AND APPARATUS FOR SECURING FACILITIES AGAINST UNAUTHORIZED ACCESS, IN PARTICULAR FOR IDENTIFYING GAMBLERS IN A CASINO  
Filing Date: Herewith as national phase of International Patent Application No. PCT/DE2003/002243, filed July 4, 2003

- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C 371 (2 pages);
- Copy of Int. Patent Application PCT/DE2003/002243 w/ Int. Search Report (13 + 6 pages);
- Translation of Int. Patent Application PCT/DE2003/002243 (8 pages); *Two (2) translated sheets of drawings;*
- Preliminary Amendment (8 pages);
- Copy of executed Declaration and PoA (1 page);
- Recordation Cover Sheet and copy of executed assignment (4 pages);
- Application Data Sheet (2 pages);
- Letter re: Priority (1 page);
- Information Disclosure Statement with PTO-1449 (3 pages) and copies of cited references;
- Return Receipt Postcard;
- Check over \$1040.00

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

5040.1007

**UNITED STATES PATENT & TRADEMARK OFFICE**

Re: Application of: **Rudolf HAUKE**  
Serial No.: To Be Assigned  
Filed: Herewith as national phase of International  
Application No. PCT/DE2003/002243, filed 4 July 2003  
For: **METHOD AND APPARATUS FOR SECURING  
FACILITIES AGAINST UNAUTHORIZED ACCESS,  
IN PARTICULAR FOR IDENTIFYING GAMBLERS  
IN A CASINO**

**LETTER RE: PRIORITY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

May 13, 2005

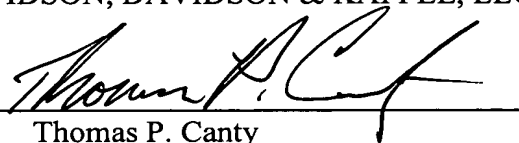
Sir:

Applicant hereby claims priority of International Application Serial No.  
PCT/DE2003/002243, filed 4 July 2003.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By



Thomas P. Canty  
Reg. No. 44,586

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